



Security and Covenant Monitoring (SeCoM - DLT)

Issuers User Manual-Asset Creation

June 2023

Asset Module

This module is used by issuer to capture Assets information and External charges (Encumbrances if any).

Assets

Assets are classified into different type and sub type as per the SEBI guidance. System captures specific information depending on the type-subtype combination. Details like description, location, issuer valuation, identification attributes, insurance etc. are captured. Issuer need to enter correct information in all the fields. These fields would be used for the de-duplication of Assets. It is expected that only one Unique Asset would be present in the system (including NSDL system). System provides a unique Asset ID for each of the assets after Issuer and DT verification at the first time usage of the asset for any of the bond.

System is based on SEBI Circular No.: SEBI/HO/ MIRSD/CRADT/CIR/P/2022/38 dated Mar 29, 2022 regarding “Operational guidelines for ‘Security and Covenant Monitoring’ using Distributed Ledger Technology (DLT)” –

SEBI/HO/MIRSD/MIRSD_CRADT/CIR/P/2021/618 August 13, 2021

<https://www.sebi.gov.in/legal/circulars/mar-2022/operational-guidelines-for-security-and-covenant-monitoring-using-distributed-ledger-technology-dlt-57331.html>

<https://www.sebi.gov.in/legal/circulars/aug-2021/-security-and-covenant-monitoring-using-distributed-ledger-technology-51855.html>

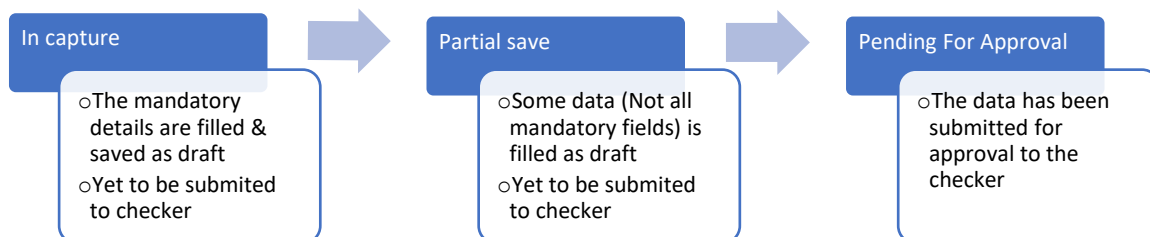
External Charges

External charges are the charges which may be existing on the assets created in the system but exists outside the system. For example charges due loans availed using these assets.

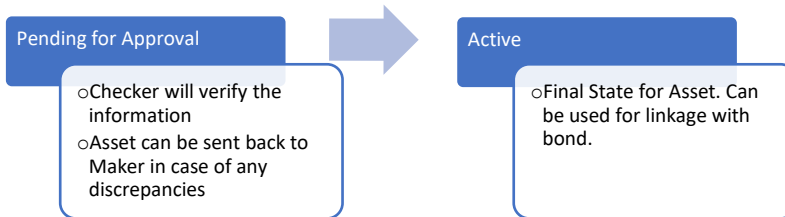
DT can access the asset information and can ask issuer to do amendment if any. DT can do valuation for this asset and other checks in the system in bond module.

Stages in Systems

- **Issuer Maker**



- **Issuer Checker**

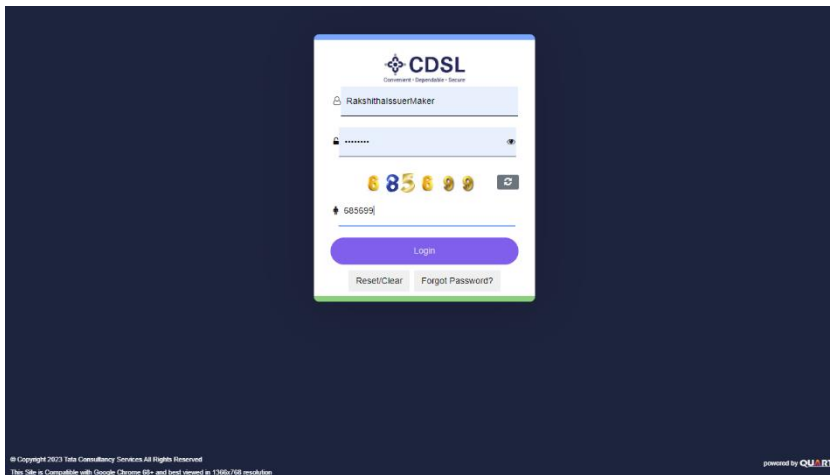


Asset Creation

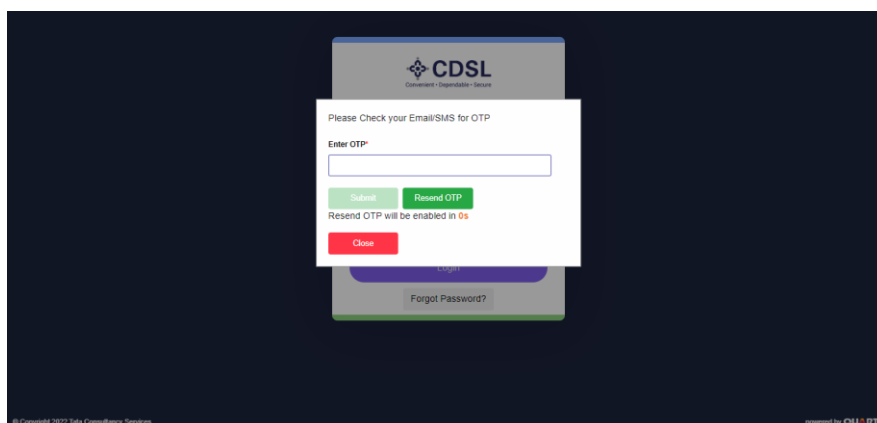
1. Login in the system

Open web Page: <https://dltbond.cdslindia.com/login>

- Maker will enter the Username, Password and Captcha.



- After correct entry of combination of user name and password, system will send OTP on registered email and mobile number of user.

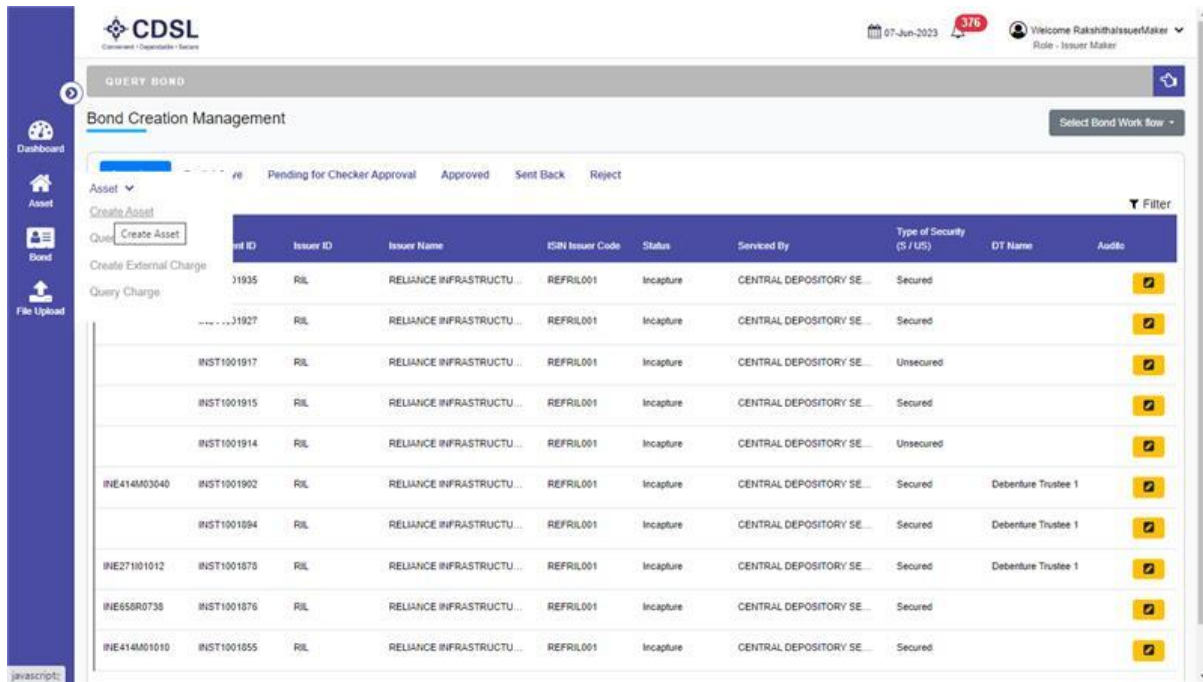


- Forgot Password helps the user to reset the password with the help of users Email & Username.

2. Create Asset (Maker)

2.1. Asset Information

- Maker User shall click on the Asset icon & select create asset from the dropdown.



- Maker User should enter type and subtype for the asset into the system given in the below table.

Classification of Asset - Type & Sub Type to be entered			
Sr No	Asset Type	Asset Subtype	Property Type
1	Immovable Property	Residential	1. Flat 2. House 3. Plot
		Commercial	1. Offices 2. Shops 3. Retail mall 4. Plot
		Industrial	1. Manufacturing Units 2. Industrial Complex 3. SEZ
		Agricultural	1. Plot 2. Land
2	Movable Fixed Assets	Plant and Machineries	NA

		Furniture and Fixtures	NA
		Charge on Mortgage on Ship	NA
		Motor Vehicle	NA
3	Current Assets	Inventories	NA
		Cash and Bank Balances	NA
		Other – Loan and Advances	NA
		Other – Investments	NA
		Income Receivables	NA
4	Securities / Financial Asset	Security	NA
		Trade Receivables	NA
		Loans	NA
		Others	NA
5	Guarantees	Personal	NA
		Corporate	NA
		Government	NA
6	Intangible Asset	Charge on Brand	NA
		Goodwill	NA
		IPR	NA
		Copyright	NA
		Patent	NA
		Licence	NA
		Design	NA

- Maker will enter information about the assets, valuation details, specific identification information etc.
- Ensure that all fields are updated with the correct values.
- For some combinations of Asset and Sub-type combination system expects Code in ERP (Enterprise Resource Planning) system and in FAR (Fixed Asset Register) system of the issuer.
- System provides option to DT to record the valuation of Asset at the time of recording Initial Due Diligence in the system. At the time of Asset setup, these values would not present.
- In the lifecycle of the bond, issuer would create the charges on the assets. These charges would be used for verification of adequate coverage wrt the valuation entered by the DT.
- If issuer selects the nature of asset as portfolio, system has functionality to upload the portfolio document post saving the asset.

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ASSET INFORMATION

Asset ID * **Internal Asset ID *** **Asset External Reference** **Issuer Name *** **Issuer ID *** **ISIN Issuer Code**

Issuer PAN * **Asset Type *** **Asset Sub Type *** **Nature Of Asset ***

Asset Short Name * **Asset Short Description ***

Code In ERP System (Enterprise Resource Planning) * **Code Description In ERP System(Enterprise Resource Planning)**

Code In FAR(Fixed Asset Register) **Code Description In FAR(Fixed Asset Register)**

Encumbrance/Lien Flag * **Encumbrance Details**

Regulator Details/Agency Identifier **Unique Identification Number Provided By Regulator/Agency**

ASSET OWNER DETAILS

Owner Name * **Asset Ownership Details ***

Asset Owned By * **First Holder PAN Of Asset Owner *** **Email Id Of Asset Owner *** **Mobile Number Of Asset Owner ***

- System provides an option to Issuer to enter the insurance details.

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INSURANCE DETAILS

Whether Insured * [Add Insurance](#)

Amount of Insurance	Insurance Company	Insurance Start Date	Insurance Expiry Date	Insurance Policy Number	Beneficiary Name	Type of Insurance	Insurance Type Description	Action
90,00,00,000	New India Insurance	07-Jun-2023	06-Jun-2024	100089	Srija Salian	Others	Prepaid insurance	

LOCATION DETAILS

Country in Which Asset Is Located. * **Pin Code *** **State *** **City ***

Address Line 1 * **Address Line 2 *** **Address Line 3 ***

DT VALUATION DETAILS

Total Asset Value **Utilized Asset Value** **Available Asset Value**

ISSUER VALUATION DETAILS

Valuator Name * **Valuation Amount *** **Valuation Date *** **Issuer Valuation Remarks**

DATE AND STATUS

Creation Date * **Date Of Record *** **Validity/Expiry Date** **Asset Status *** **Closure/Deletion Date**

Created Date * **Last Modification Date ***

Partial Save Save

- Issuer will save the data. Issuers can save the data partially and resume the transaction afterwards.
- System will generate the Internal Asset ID for the tracking in the system.
- Issuer maker needs to perform Duplicacy check in the system.

- System has option to submit the information for the checker approval.

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ASSET

ASSET INFORMATION

Asset ID * Internal Asset ID * Asset External Reference Issuer Name * Issuer ID * ISIN Issuer Code

Issuer PAN * Asset Type * Asset Sub-Type * Nature Of Asset *

Asset Short Name * Asset Short Description *

Code In ERP System (Enterprise Resource Planning)

Code In FAR/Fixed Asset Register

Encumbrance/Lien Flag * Encumbrance Details

Regulator Details/Agency Identifier Unique Identification Number Provided By Regulator/Agency

ASSET OWNER DETAILS

Owner Name * Asset Ownership Details *

Asset Owned By * First Holder PAN Of Asset Owner * Email Id Of Asset Owner * Mobile Number Of Asset Owner *

INSURANCE DETAILS

✓ Success

Your Asset has been created with Asset Internal ID 12959. Please Submit the Asset to send for Approval

Close

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INSURANCE DETAILS

Whether Insured *

LOCATION DETAILS

Country In Which Asset Is Located. * Pin Code * State * City *

Address Line 1 * Address Line 2 * Address Line 3 *

DT VALUATION DETAILS

Total Asset Value Utilized Asset Value Available Asset Value

ISSUER VALUATION DETAILS

Valuator Name * Valuation Amount * Valuation Date * Issuer Valuation Remarks

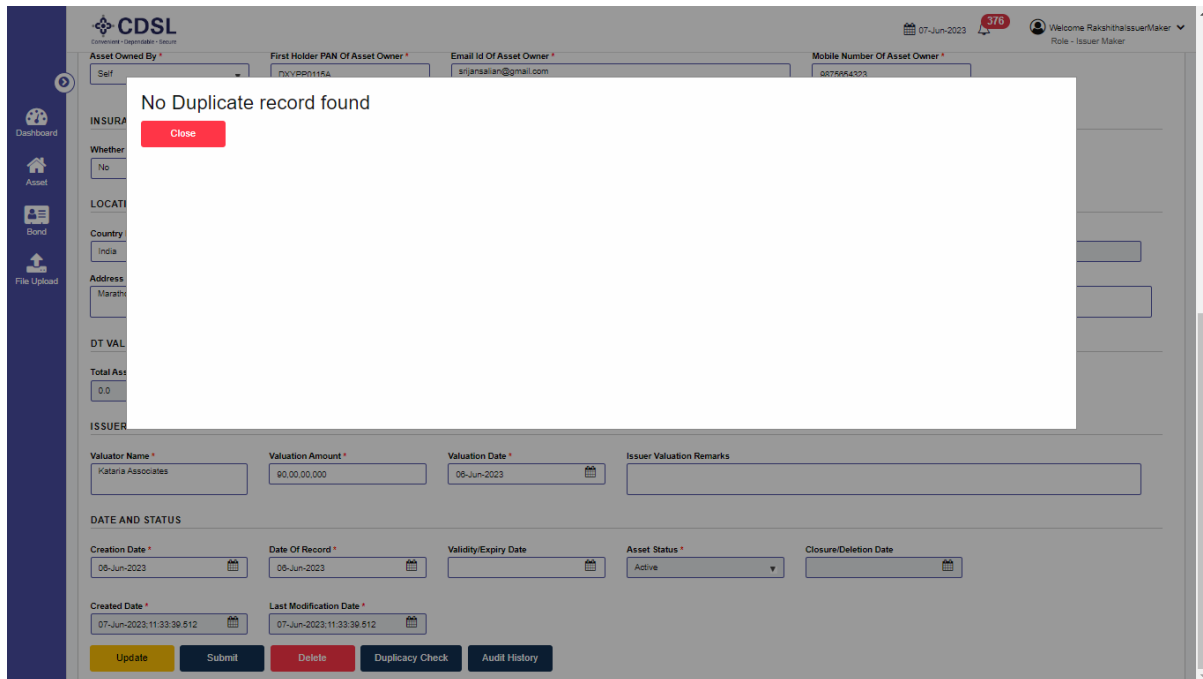
DATE AND STATUS

Creation Date * Date Of Record * Validity/Expiry Date * Asset Status * Closure/Deletion Date

Created Date * Last Modification Date *

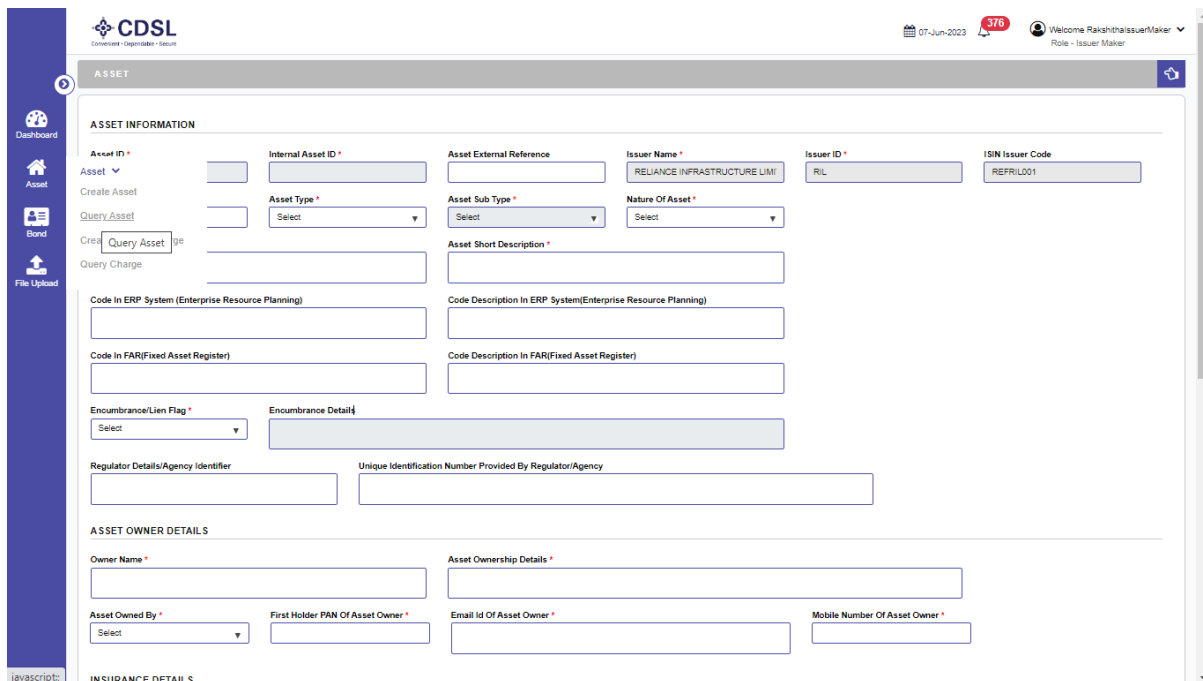
Please do Duplication Check before proceeding further.

Update Submit Delete Duplication Check Audit History



The screenshot shows the CDSL Asset Management System interface. A modal dialog box is displayed in the center with the text "No Duplicate record found" and a "Close" button. The background form is partially visible, showing fields for "Asset Owned By", "First Holder PAN Of Asset Owner", "Email Id Of Asset Owner", and "Mobile Number Of Asset Owner". Below the dialog, there are sections for "VALUATION", "DATE AND STATUS", and "ISSUER".

- By clicking on Duplicate Check, Maker User can check duplicate report of the particular asset.
- Users can use “Query Asset” option to see the Assets Saved or submitted in the system.



The screenshot shows the CDSL Asset Management System interface for the "ASSET" form. The form is divided into several sections: "ASSET INFORMATION", "ASSET OWNER DETAILS", and "INSURANCE DETAILS". The "ASSET INFORMATION" section includes fields for "Asset ID", "Internal Asset ID", "Asset External Reference", "Issuer Name", "Issuer ID", "ISIN Issuer Code", "Asset Type", "Asset Sub Type", "Nature Of Asset", "Asset Short Description", "Code In ERP System", "Code Description In ERP System", "Code In FAR", "Code Description In FAR", "Encumbrance/Lien Flag", "Encumbrance Detail", "Regulator Details/Agency Identifier", and "Unique Identification Number Provided By Regulator/Agency". The "ASSET OWNER DETAILS" section includes fields for "Owner Name", "Asset Ownership Details", "Asset Owned By", "First Holder PAN Of Asset Owner", "Email Id Of Asset Owner", and "Mobile Number Of Asset Owner".

- The asset will be visible in in-capture tab in case of Saved Assets. Asset will be visible in “Pending for Approval” in case of Submitted assets.
- User can view list of all the assets.

QUERY ASSET

Approved **Incapture** Pending for Approval Send Back Reject Partial Save

Asset ID	Asset Internal ID	Asset External Reference	Issuer ID	Issuer Name	ISIN Issuer Code	Asset Status	PAN Of Issuer	Type of the Asset	Asset Subtype	Asset Name	Country	
12959			RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	DXYP0115A	Current Assets	Other - Investments		IN	
12940	FER@1801		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABDFR4545Y	Financial Asset	Security		IN	
12927			RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	JWUP2505C	Current Assets	Other - Investments		IN	
12889	Ref@123		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABODE1234Y	Financial Asset	Security		IN	
12827	REF@123		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABODE1234Q	Guarantee	Personal		IN	
12818	FER@1815		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABDFR4545Y	Intangible Asset	License		IN	
12817	FER@1815		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Delete	ABDFR4545Y	Intangible Asset	License		IN	
12814	FER@1815		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Delete	ABDFR4545Y	Intangible Asset	License		IN	
12812			RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	AAAAA1111A	Current Assets	Other - Investments		IN	
12778	FER@1823		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	SONUP1111M	Current Assets	Income Receivables		IN	

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- User has to click on Submit button in case of Saved Asset. On submission, the asset request will be updated as 'Pending for Approval'.
- System will transfer the asset request to Checker User once asset is submitted.

ASSET

ASSET INFORMATION

Asset ID * Internal Asset ID * Asset External Reference Issuer Name * Issuer ID * ISIN Issuer Code

Issuer PAN * Asset Type * Asset Sub Type * Nature Of Asset *

Asset Short Name * Asset Short Description *

Code In ERP System (Enterprise Resource Planning) Code Description In ERP System(Enterprise Resource Planning)

Code In FAR(Fixed Asset Register)

Encumbrance/Lien Flag * Encumbrance Details

Regulator Details/Agency Identifier Unique Identification Number Provided By Regulator/Agency

ASSET OWNER DETAILS

Owner Name * Asset Ownership Details *

Asset Owned By * First Holder PAN Of Asset Owner * Email Id Of Asset Owner * Mobile Number Of Asset Owner *

INSURANCE DETAILS

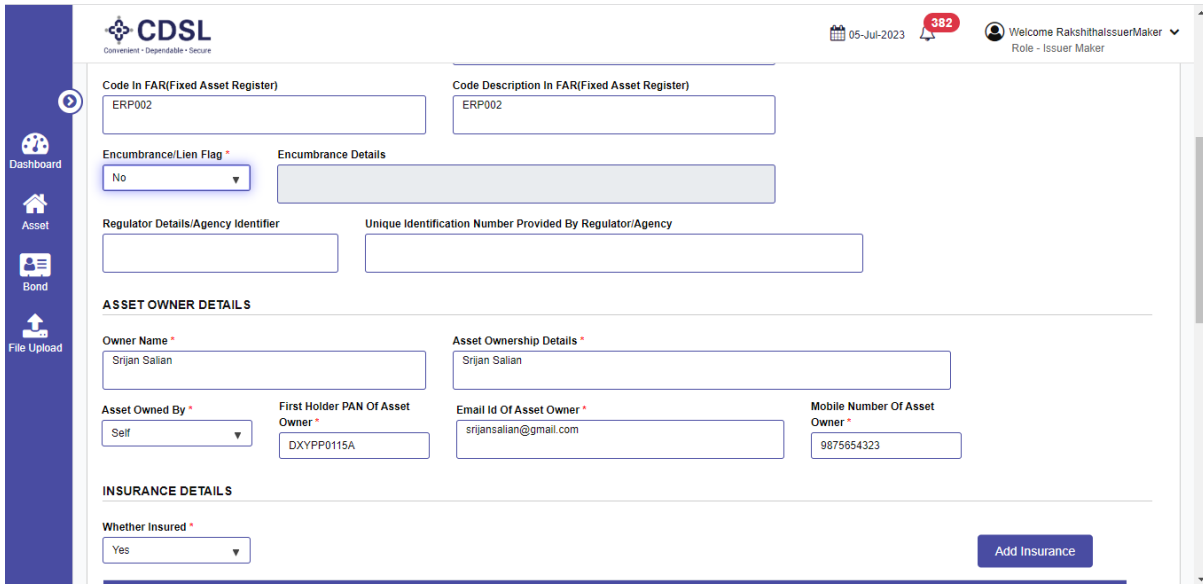
✓ Success

Asset has been Submitted Successfully

[Close](#)

2.2. Encumbrance Information

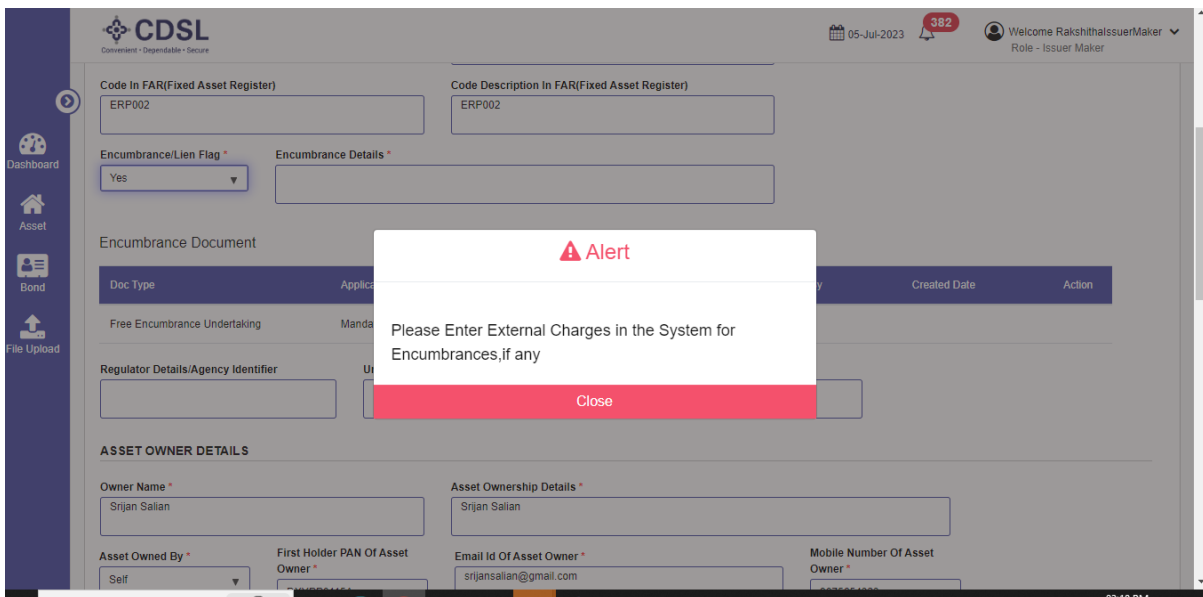
- Issuer needs to capture encumbrance information for the asset if any. System has provided Encumbrance/Lien Flag to indicate the same. In case of such charges, user must upload Encumbrance related documents for the asset.



The screenshot shows the CDSL Issuer Maker interface. The top navigation bar includes the CDSL logo, the date '05-Jul-2023', a notification bell with '382', and a user profile for 'Welcome Rakshit/IssuerMaker' with the role 'Role - Issuer Maker'. The main form area is divided into several sections:

- Code In FAR(Fixed Asset Register):** ERP002
- Code Description In FAR(Fixed Asset Register):** ERP002
- Encumbrance/Lien Flag:** A dropdown menu set to 'No'.
- Encumbrance Details:** An empty text input field.
- Regulator Details/Agency Identifier:** An empty text input field.
- Unique Identification Number Provided By Regulator/Agency:** An empty text input field.
- ASSET OWNER DETAILS:**
 - Owner Name:** Srijan Salian
 - Asset Ownership Details:** Srijan Salian
 - Asset Owned By:** Self
 - First Holder PAN Of Asset Owner:** DXYP0115A
 - Email Id Of Asset Owner:** srijansalian@gmail.com
 - Mobile Number Of Asset Owner:** 9875854323
- INSURANCE DETAILS:**
 - Whether Insured:** Yes
 - Add Insurance:** A blue button.

- Issuer will update the encumbrance flag as Yes. System gives the pop up to update the external charges to be updated.




This screenshot shows the same CDSL Issuer Maker interface as the previous one, but with the 'Encumbrance/Lien Flag' dropdown menu set to 'Yes'. An alert pop-up is displayed in the center of the screen, partially obscuring the 'Encumbrance Document' table. The alert contains the following text:

Alert
Please Enter External Charges in the System for Encumbrances,if any

Below the alert, the 'Encumbrance Document' table is visible, showing columns for 'Doc Type', 'Applic...', 'Created Date', and 'Action'. The table contains one row: 'Free Encumbrance Undertaking'.

The rest of the form fields (Owner Name, Asset Ownership Details, etc.) remain the same as in the previous screenshot.

- System is having option to upload the charge document.



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Welcome RakshitHissuerMaker
Role - Issuer Maker

Code In FAR(Fixed Asset Register)

Code Description In FAR(Fixed Asset Register)

Encumbrance/Lien Flag *

Encumbrance Details *

Encumbrance Document

Doc Type	Applicability	Doc Id	Doc Name	Created By	Created Date	Action
Free Encumbrance Undertaking	Mandatory					

Regulator Details/Agency Identifier

Unique Identification Number Provided By Regulator/Agency

ASSET OWNER DETAILS

Owner Name *

Asset Ownership Details *

Asset Owned By *

First Holder PAN Of Asset Owner *


Email Id Of Asset Owner *

Mobile Number Of Asset Owner *

- Additionally, users need to capture such external charges in the system.

2.3. External Charges

- Issuer needs to select “Create External Charges” option in Asset module.
- Charge value entered in the external charges, would be blocked for the usage while linking of bond to the asset.



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Welcome RakshitHissuerMaker
Role - Issuer Maker

ASSET OWNER DETAILS

Owner Name *

Asset Ownership Details *

Asset Owned By *

First Holder PAN Of Asset Owner *

Email Id Of Asset Owner *

Mobile Number Of Asset Owner *

Query Asset

[Create External Charge](#)

[Query Charge](#)

[Add Insurance](#)

Amount of Insurance	Insurance Company	Insurance Start Date	Insurance Expiry Date	Insurance Policy Number	Beneficiary Name	Type of Insurance	Insurance Type Description	Action

LOCATION DETAILS

Country In Which Asset Is Located. *

Pin Code *


State *

City *

Address Line 1 *

Address Line 2 *

Address Line 3 *




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Role - Issuer Maker

CREATE EXTERNAL CHARGE

Charge ID * <input type="text"/>	Asset ID <input type="text"/>	Internal Asset ID * <input type="text"/>	Issuer ID <input type="text" value="RIL"/>
ISIN Issuer Code <input type="text" value="REFRIL001"/>	Issuer Name <input type="text" value="RELIANCE INFRASTRUCTURE LIMITED (FO"/>	Type Of Charge * <input type="text" value="Select"/>	Nature Of Charge * <input type="text" value="Select"/>
Charge Holder * <input type="text"/>	Date Of Charge * <input type="text"/>	Charge Amount * <input type="text"/>	Date Of Modification <input type="text"/>
Paripasu Flag * <input type="text" value="Select"/>	Charge Closure Date <input type="text"/>	Status * <input type="text" value="Active"/>	Issuer Remarks * <input type="text"/>
Creation Date <input type="text"/>	Last Modification Date <input type="text"/>		

Save
Clear

- Issuer needs to select “Internal Asset ID” field and select the asset for which the charge needs to be created.
- Once internal asset ID is selected, issuer needs to fill all the details pertaining to the charge and save the details.

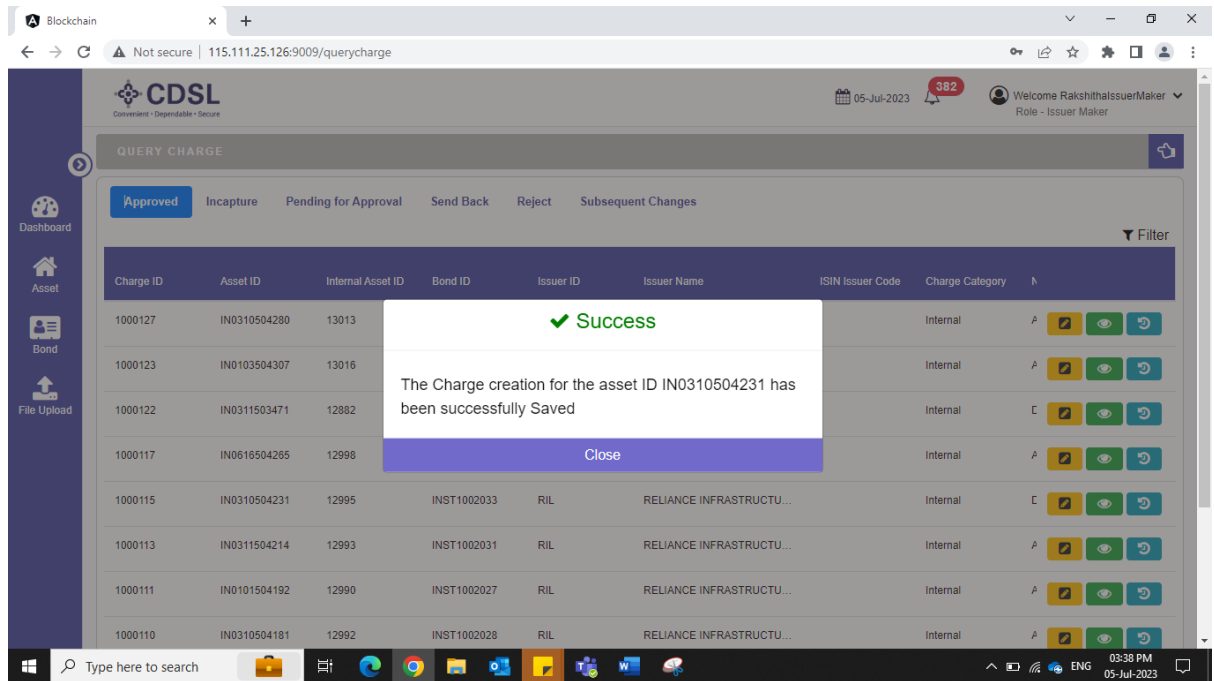


05-Jul-2023 382 Welcome RakshitHalsuerMaker
Role - Issuer Maker

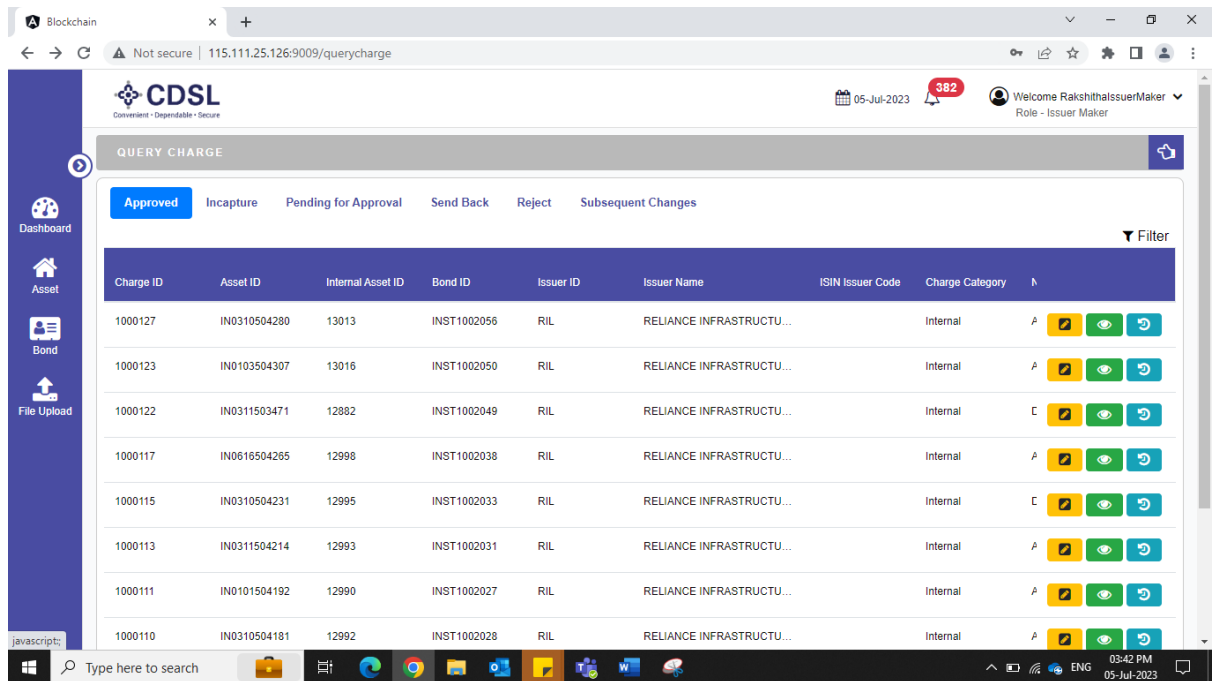
CREATE EXTERNAL CHARGE

Charge ID * <input type="text"/>	Asset ID <input type="text" value="IN0310504231"/>	Internal Asset ID * <input type="text" value="12995"/>	Issuer ID <input type="text" value="RIL"/>
ISIN Issuer Code <input type="text" value="REFRIL001"/>	Issuer Name <input type="text" value="RELIANCE INFRASTRUCTURE LIMITED (FO"/>	Type Of Charge * <input type="text" value="1st Charge"/>	Nature Of Charge * <input type="text" value="Any other types"/>
Charge Holder * <input type="text" value="IDBI Trustee"/>	Date Of Charge * <input type="text" value="07-Jun-2023"/>	Charge Amount * <input type="text" value="6,00,000"/>	Date Of Modification <input type="text"/>
Paripasu Flag * <input type="text" value="No"/>	Charge Closure Date <input type="text"/>	Status * <input type="text" value="Active"/>	Issuer Remarks * <input type="text" value="charges created"/>
Creation Date <input type="text"/>	Last Modification Date <input type="text"/>		

Save
Clear



- Issuer to select “Query charge” option in Asset module.
























- Under the “In capture” tab, issuer can edit and submit the charge details for approval.

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Role - Issuer Maker

QUERY CHARGE

Approved **Incapture** Pending for Approval Send Back Reject Subsequent Changes

Filter

Charge ID	Asset ID	Internal Asset ID	Bond ID	Issuer ID	Issuer Name	ISIN Issuer Code	Charge Category	N	
1000131	IN0310504231	12995		RIL	RELIANCE INFRASTRUCTU...	RIL	External	A	  
1000118	IN0616504265	12998	INST1002038	RIL	SarveshIssuerMaker	RIL		A	  
1000065	IN0310503688	12922	INST1001905	RIL	Issac	RIL		A	  
1000053	IN0311503604	12829	INST1001892	RIL	Iris	RIL		F	  
1000058	IN0311503638	12914	INST1001898	RIL	Iris	RIL		A	  
1000050		12908		RIL	RELIANCE INFRASTRUCTU...	RIL	External	A	  
1000013	IN0311503299	12831	INST1001745	RIL	Indar	RIL		C	  

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Role - Issuer Maker

UPDATE CHARGE

Charge ID * Asset ID Internal Asset ID * Issuer ID

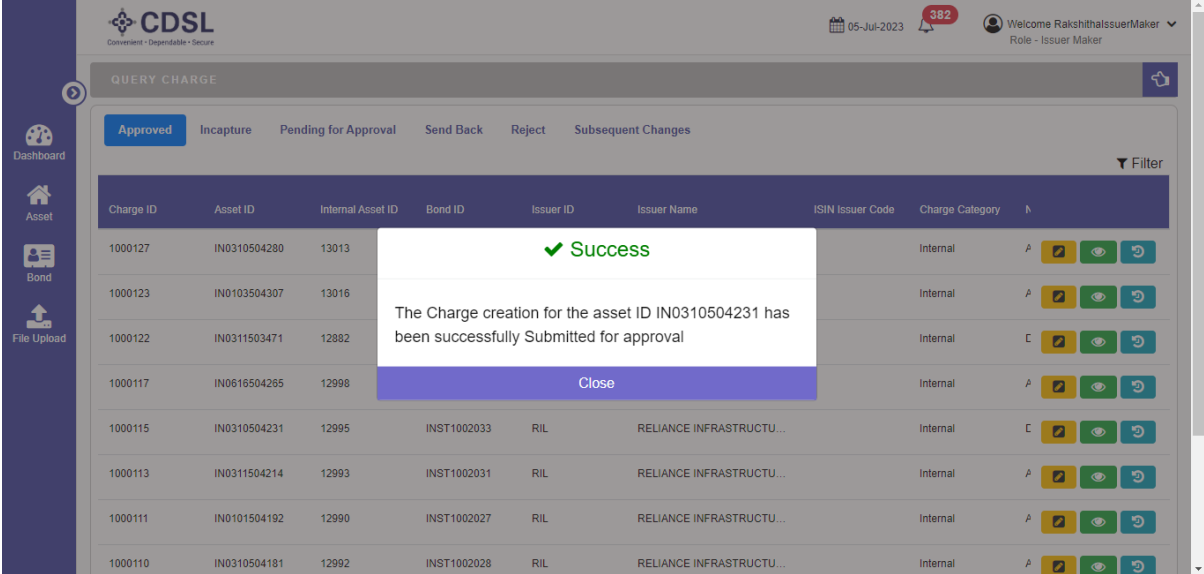
ISIN Issuer Code Issuer Name Type Of Charge * Nature Of Charge *

Charge Holder * Date Of Charge * Charge Amount * Date Of Modification

Paripasu Flag * Charge Closure Date Status * Issuer Remarks *

Creation Date Last Modification Date

- Charges submitted successfully.



QUERY CHARGE

Approved Incapture Pending for Approval Send Back Reject Subsequent Changes

Charge ID	Asset ID	Internal Asset ID	Bond ID	Issuer ID	Issuer Name	ISIN Issuer Code	Charge Category	N
1000127	IN0310504280	13013					Internal	A
1000123	IN0103504307	13016					Internal	A
1000122	IN0311503471	12882					Internal	E
1000117	IN0616504285	12998					Internal	A
1000115	IN0310504231	12995	INST1002033	RIL	RELIANCE INFRASTRUCTU...		Internal	E
1000113	IN0311504214	12993	INST1002031	RIL	RELIANCE INFRASTRUCTU...		Internal	A
1000111	IN0101504192	12990	INST1002027	RIL	RELIANCE INFRASTRUCTU...		Internal	A
1000110	IN0310504181	12992	INST1002028	RIL	RELIANCE INFRASTRUCTU...		Internal	A

Success

The Charge creation for the asset ID IN0310504231 has been successfully Submitted for approval

Close

3. Issuer Checker

- Issuer Checker logs into the system
- Issuer Checker will go on query asset > pending for approval tab, where he'll be able to view the assets. He can use the Internal asset ID generated by the system for tracking, which can be passed to him outside the system.
- Checker needs to verify all the information and documents entered by the Maker.
- Upon verification, Checker User can approve or reject or send back the asset for rectification.

Select Query Asset Option.

07-Jun-2023 376 Welcome Rakshit/IssuerChecker Role - Issuer Checker

QUERY BOND

Bond Creation Management

Select Bond Work flow

Asset

Create Asset

Query Asset

Query Charge

Asset ID	Issuer ID	Issuer Name	ISIN Issuer Code	Status	Serviced By	Type of Security (S / US)	DT Name	Auditor Name	Creation Date
...	01925	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured		30-May-2023:16:11:12
...	01927	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured		30-May-2023:11:26:19
...	INST1001917	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Unsecured		28-May-2023:11:29:05
...	INST1001915	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured		25-May-2023:17:43:31
...	INST1001914	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Unsecured		25-May-2023:17:35:10
INE414M03040	INST1001902	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured	Debenture Trustee 1	24-May-2023:17:07:09
...	INST1001894	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured	Debenture Trustee 1	23-May-2023:16:33:58
INE271101012	INST1001879	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured	Debenture Trustee 1	19-May-2023:14:41:43
INE559R0738	INST1001878	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured		16-May-2023:12:05:03
INE414M01010	INST1001855	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured		12-May-2023:12:32:41

Export To Excel

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- Select "Pending for Approval" tab and select the required asset.

07-Jun-2023 376 Welcome Rakshit/IssuerChecker Role - Issuer Checker

QUERY ASSET

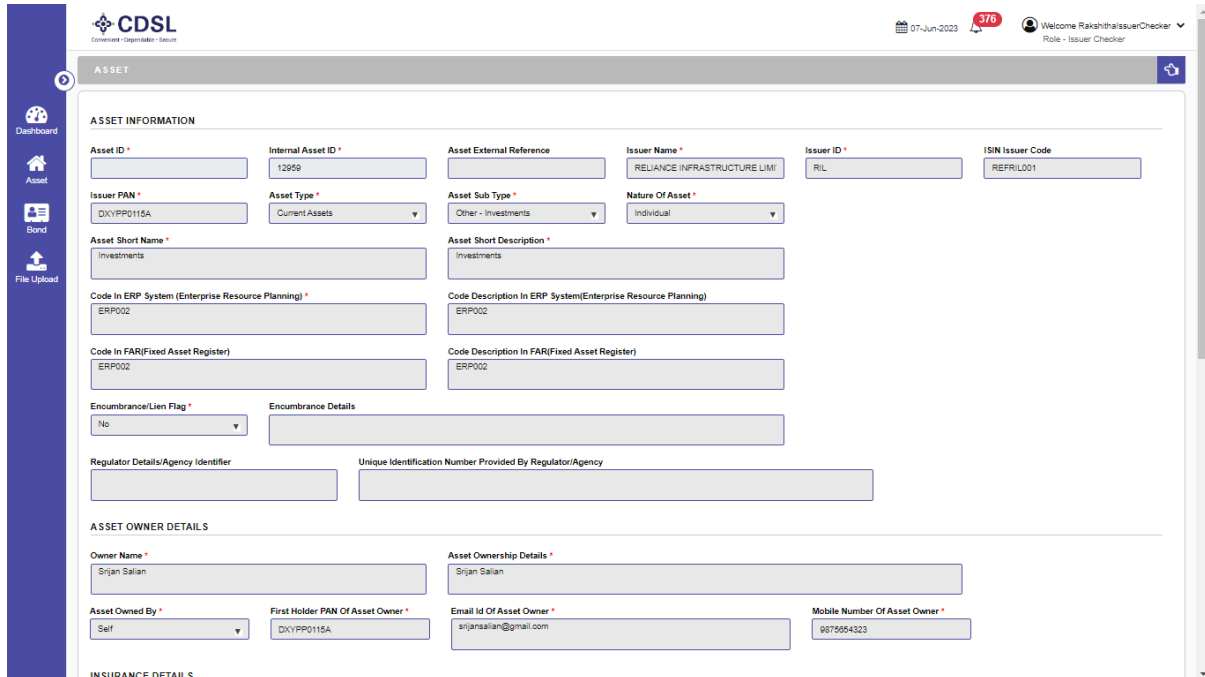
Approved Incapture **Pending for Approval** Send Back Reject Partial Save

Asset ID	Asset Internal ID	Asset External Reference	Issuer ID	Issuer Name	ISIN Issuer Code	Asset Status	PAN Of Issuer	Type of the Asset	Asset Subtype	Asset Name	Country
...	12959		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	DKYPP0115A	Current Assets	Other - Investments		IN
IND311503928	12943	REF@123	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE3450P	Current Assets	Income Receivables		IN
...	12923		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	JWUP8045B	Current Assets	Other - Investments		IN
...	12894	Ref@123	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Delete	ABCDE1234Q	Current Assets	Income Receivables		IN
...	12855	FER@1817	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	MULMN9032Y	Movable Fixed Assets	Motor Vehicle		IN
...	12826	FER@1814	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	OPOPL3333F	Intangible Asset	Patent		IN
...	12819	FER@1815	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Delete	ABDFR4545Y	Intangible Asset	License		IN
...	12721	REF@271	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABDFR4545Y	Financial Asset	Security		IN
...	12712	FER@201	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABDFR4545Y	Financial Asset	Security		IN
...	12708	FER@202	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	HIGTY1213L	Financial Asset	Security		IN

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- Asset Screen



ASSET INFORMATION

Asset ID * Internal Asset ID * Asset External Reference Issuer Name * Issuer ID * ISIN Issuer Code

12050 RELIANCE INFRASTRUCTURE LIMI RIL REFRIL001

Issuer PAN * Asset Type * Asset Sub Type * Nature Of Asset *

DKYPP0115A Current Assets Other - Investments Individual

Asset Short Name * Asset Short Description *

Investments Investments

Code In ERP System (Enterprise Resource Planning) * Code Description In ERP System(Enterprise Resource Planning)

ERP002 ERP002

Code In FAR(Fixed Asset Register) Code Description In FAR(Fixed Asset Register)

ERP002 ERP002

Encumbrance/Lien Flag * Encumbrance Details

No

Regulator Details/Agency Identifier Unique Identification Number Provided By Regulator/Agency

ASSET OWNER DETAILS

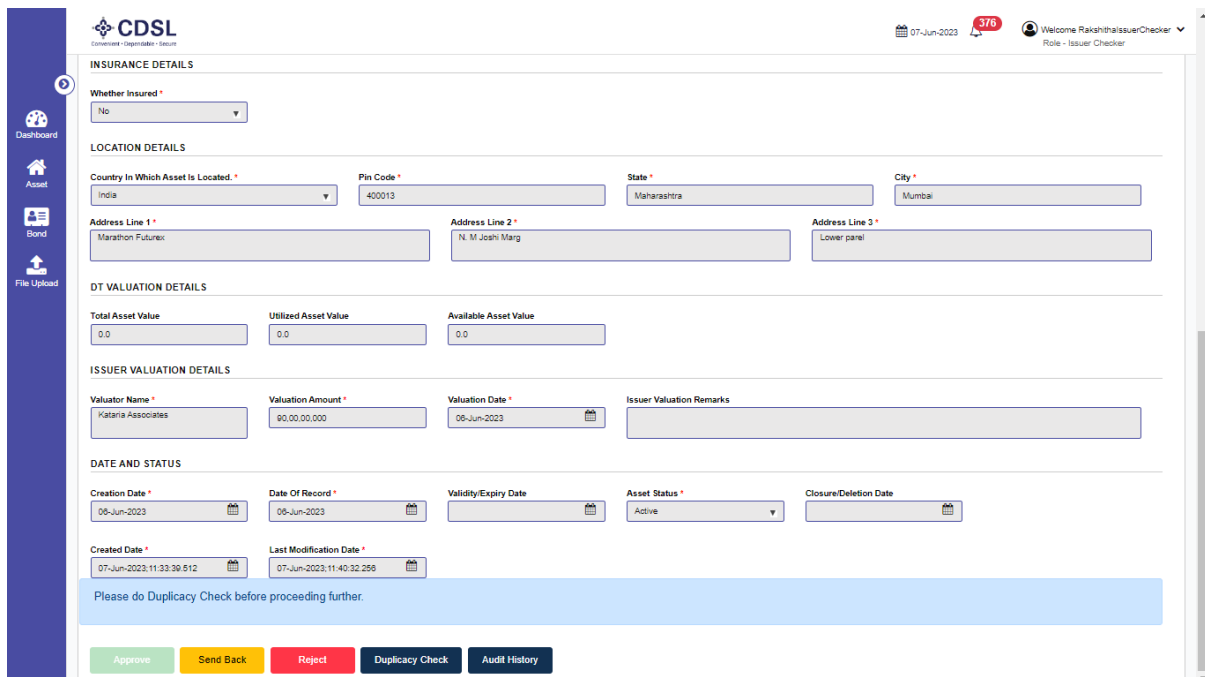
Owner Name * Asset Ownership Details *

Srijan Sahaan Srijan Sahaan

Asset Owned By * First Holder PAN Of Asset Owner * Email Id Of Asset Owner * Mobile Number Of Asset Owner *

Self DKYPP0115A srijanahaan@gmail.com 9875654323

INSURANCE DETAILS



INSURANCE DETAILS

Whether Insured *

No

LOCATION DETAILS

Country In Which Asset is Located. * Pin Code * State * City *

India 400013 Maharashtra Mumbai

Address Line 1 * Address Line 2 * Address Line 3 *

Marathon Futurus N. M. Joshi Marg Lower panel

DT VALUATION DETAILS

Total Asset Value Utilized Asset Value Available Asset Value

0.0 0.0 0.0

ISSUER VALUATION DETAILS

Valuator Name * Valuation Amount * Valuation Date * Issuer Valuation Remarks

Katania Associates 00,00,00,000 06-Jun-2023

DATE AND STATUS

Creation Date * Date Of Record * Validity/Expiry Date Asset Status * Closure/Deletion Date

06-Jun-2023 06-Jun-2023 Active

Created Date * Last Modification Date *

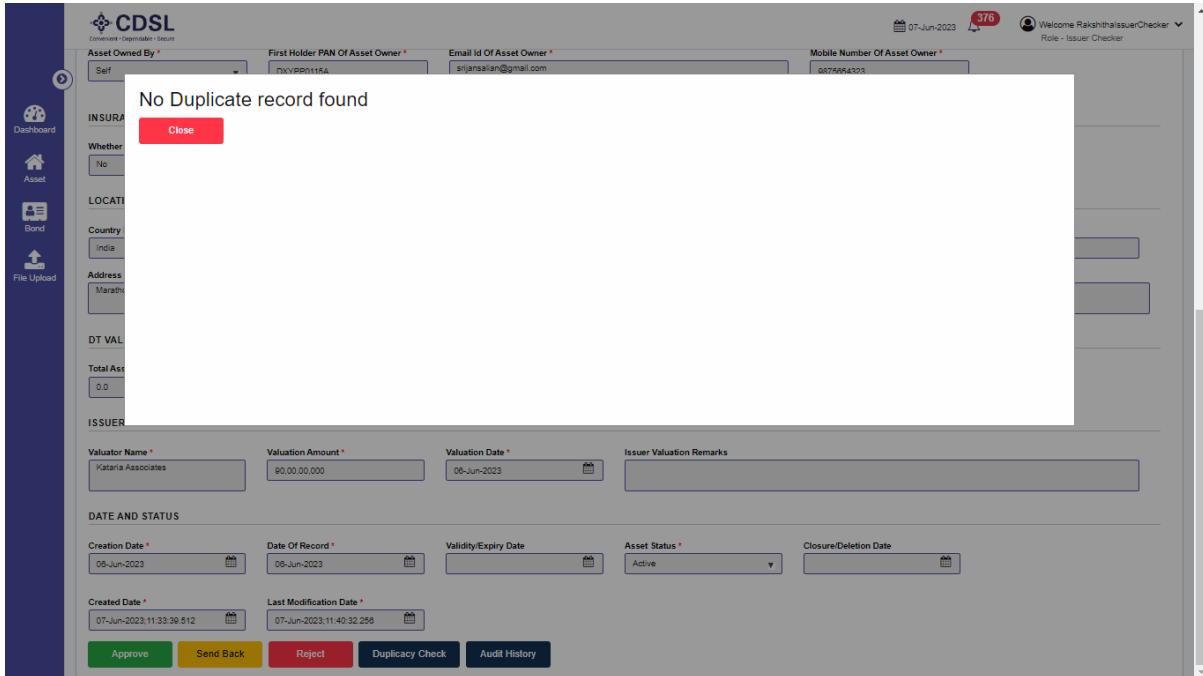
07-Jun-2023,11:33:39.512 07-Jun-2023,11:40:32.258

Please do Duplicacy Check before proceeding further.

Approve Send Back Reject Duplicacy Check Audit History

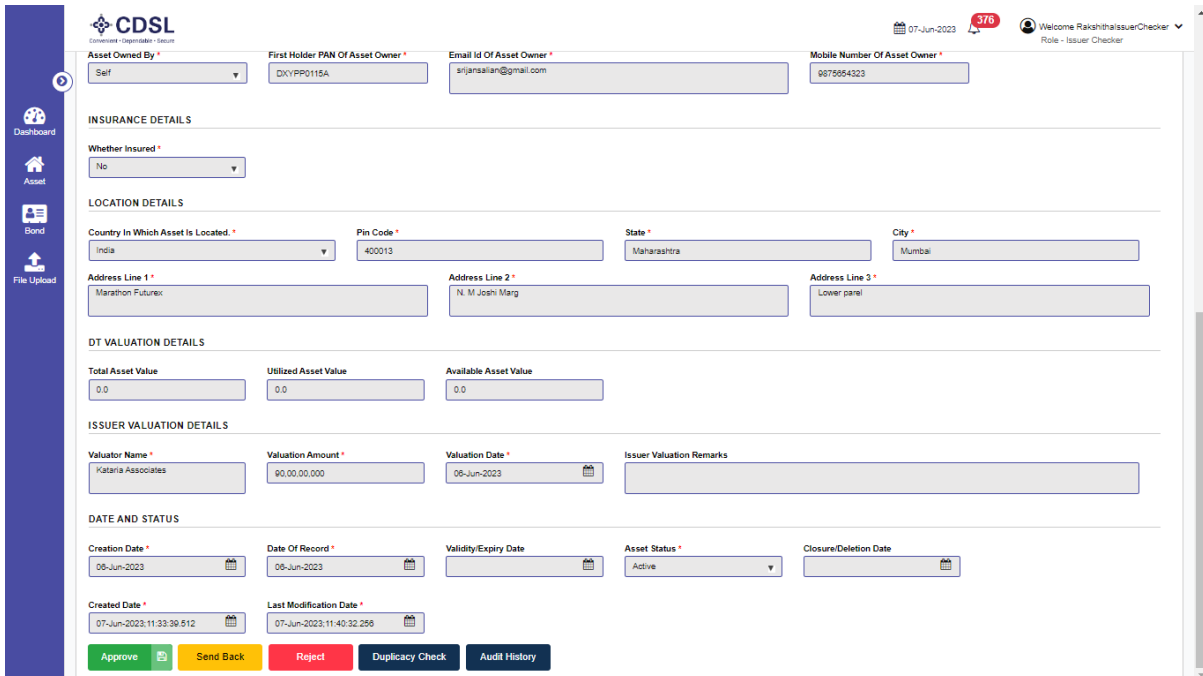
- Duplicacy check need to be performed by the Issuer Checker.
- If the Checker User approves the request, then the System makes the status of Asset as Active.
- If the Checker User rejects the request, the status will be updated as 'Rejected.'

- If the Checker User sends back the request, then the request is sent back with remarks to the Maker. Maker user can then modify the details and submit again for checker approval.



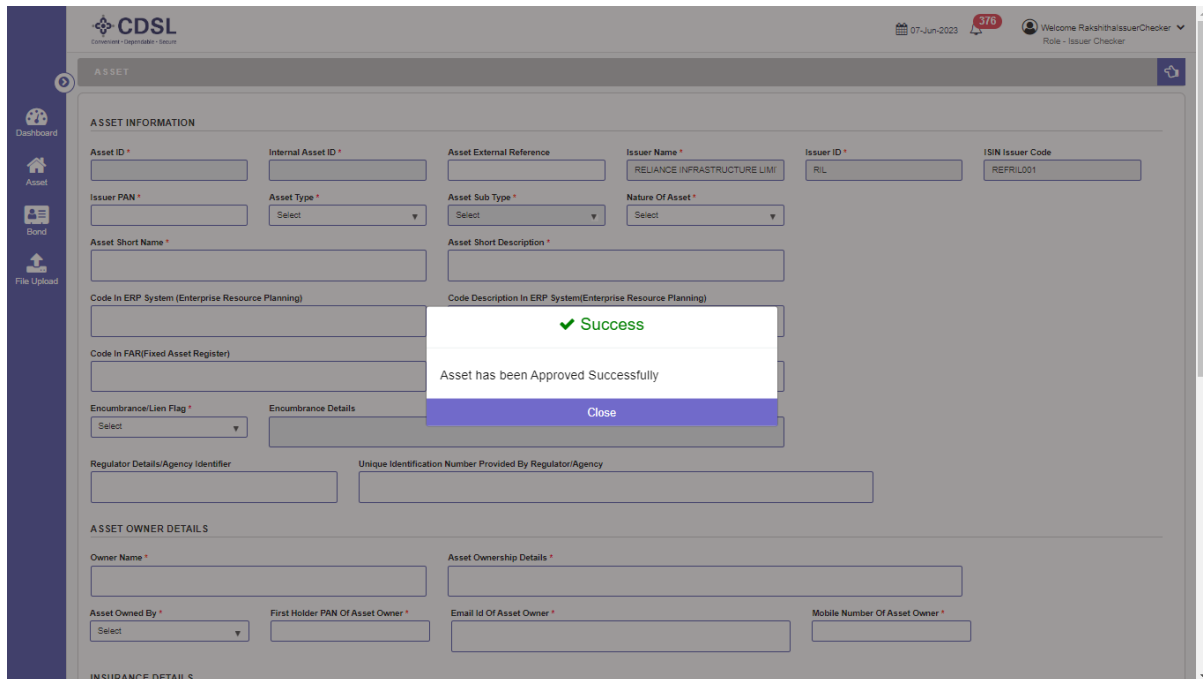
The screenshot shows a CDSL portal interface. A white modal box with the text "No Duplicate record found" and a red "Close" button is centered over the form. The form fields are partially visible behind the modal.

- Once Duplicacy Check is done Asset can be approved



The screenshot shows the CDSL portal form after a successful duplicacy check. The form is fully visible and contains the following details:

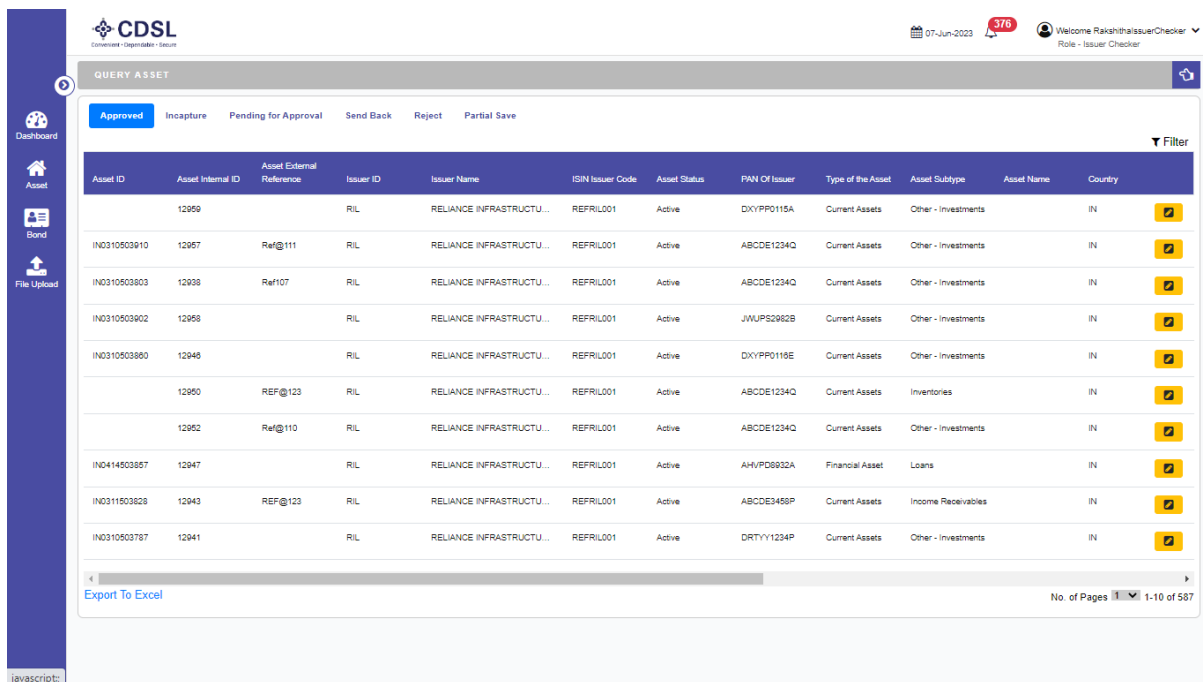
- Asset Owned By:** Self
- First Holder PAN Of Asset Owner:** DKYRP0115A
- Email Id Of Asset Owner:** srijanailan@gmail.com
- Mobile Number Of Asset Owner:** 9875954323
- INSURANCE DETAILS:** Whether Insured: No
- LOCATION DETAILS:**
 - Country In Which Asset is Located: India
 - Pin Code: 400013
 - State: Maharashtra
 - City: Mumbai
 - Address Line 1: Marathon Futorex
 - Address Line 2: N. M. Joshi Marg
 - Address Line 3: Lower panel
- DT VALUATION DETAILS:**
 - Total Asset Value: 0.0
 - Utilized Asset Value: 0.0
 - Available Asset Value: 0.0
- ISSUER VALUATION DETAILS:**
 - Valuator Name: Kataria Associates
 - Valuation Amount: 90,00,00,000
 - Valuation Date: 06-Jun-2023
 - Issuer Valuation Remarks:
- DATE AND STATUS:**
 - Creation Date: 06-Jun-2023
 - Date Of Record: 06-Jun-2023
 - Validity/Expiry Date:
 - Asset Status: Active
 - Closure/Deletion Date:
 - Created Date: 07-Jun-2023, 11:33:39.512
 - Last Modification Date: 07-Jun-2023, 11:40:32.256
- Buttons:** Approve, Send Back, Reject, Duplicacy Check, Audit History



The screenshot shows the 'ASSET' form in the CDSL system. A modal window displays a green checkmark and the text 'Success' and 'Asset has been Approved Successfully'. The form fields include:

- Asset ID, Internal Asset ID, Asset External Reference, Issuer Name (RELIANCE INFRASTRUCTURE LIMI), Issuer ID (RIL), ISIN Issuer Code (REFRIL001)
- Issuer PAN, Asset Type, Asset Sub Type, Nature Of Asset
- Asset Short Name, Asset Short Description
- Code in ERP System, Code Description in ERP System
- Code in FAR (Fixed Asset Register)
- Encumbrance/Lien Flag, Encumbrance Details
- Regulator Details/Agency Identifier, Unique Identification Number Provided By Regulator/Agency
- ASSET OWNER DETAILS: Owner Name, Asset Ownership Details, Asset Owned By, First Holder PAN Of Asset Owner, Email Id Of Asset Owner, Mobile Number Of Asset Owner
- INSURANCE DETAILS

- Asset Approved successfully showing in Approved section.



The screenshot shows the 'QUERY ASSET' section with a table of approved assets. The table has the following columns: Asset ID, Asset Internal ID, Asset External Reference, Issuer ID, Issuer Name, ISIN Issuer Code, Asset Status, PAN Of Issuer, Type of the Asset, Asset Subtype, Asset Name, and Country. Each row includes a yellow 'Export' icon.

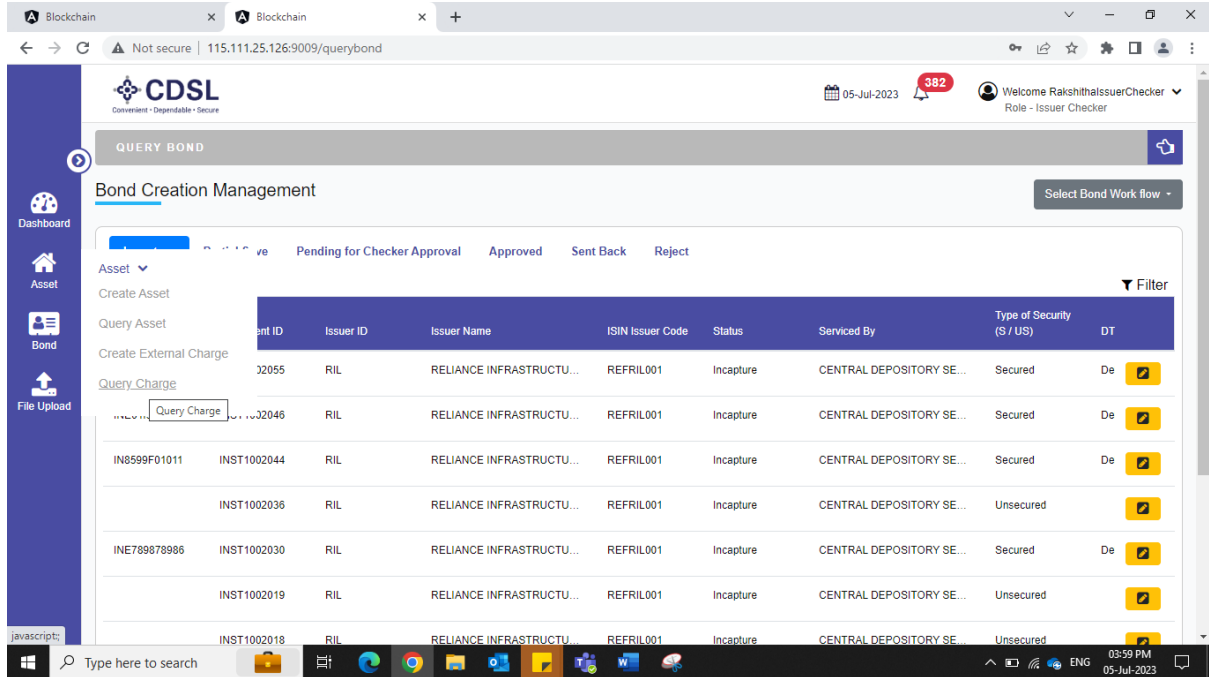
Asset ID	Asset Internal ID	Asset External Reference	Issuer ID	Issuer Name	ISIN Issuer Code	Asset Status	PAN Of Issuer	Type of the Asset	Asset Subtype	Asset Name	Country
	12959		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	DKYPP0115A	Current Assets	Other - Investments		IN
IN0310503910	12957	Ref@111	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE1234Q	Current Assets	Other - Investments		IN
IN0310503803	12938	Ref107	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE1234Q	Current Assets	Other - Investments		IN
IN0310503902	12958		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	JWUPS2962B	Current Assets	Other - Investments		IN
IN0310503860	12946		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	DKYPP0118E	Current Assets	Other - Investments		IN
	12960	REF@123	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE1234Q	Current Assets	Inventories		IN
	12952	Ref@110	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE1234Q	Current Assets	Other - Investments		IN
IN0414503857	12947		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	AHVPD8932A	Financial Asset	Loans		IN
IN0311503828	12943	REF@123	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	ABCDE3458P	Current Assets	Income Receivables		IN
IN0310503787	12941		RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Active	DRYY11234P	Current Assets	Other - Investments		IN

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- Post checker, Asset details can be used by the issuer for linking with the bond.
- During bond lifecycle, DT can view asset information and suggest issuer for the changes using Linkage approval in the bond.
- In case of satisfactory details, DT would generate Asset ID for the asset during bond lifecycle.
- This asset ID would be unique for the Asset and would be further used for the other bonds issuance also based adequacy of the valuation of the asset.

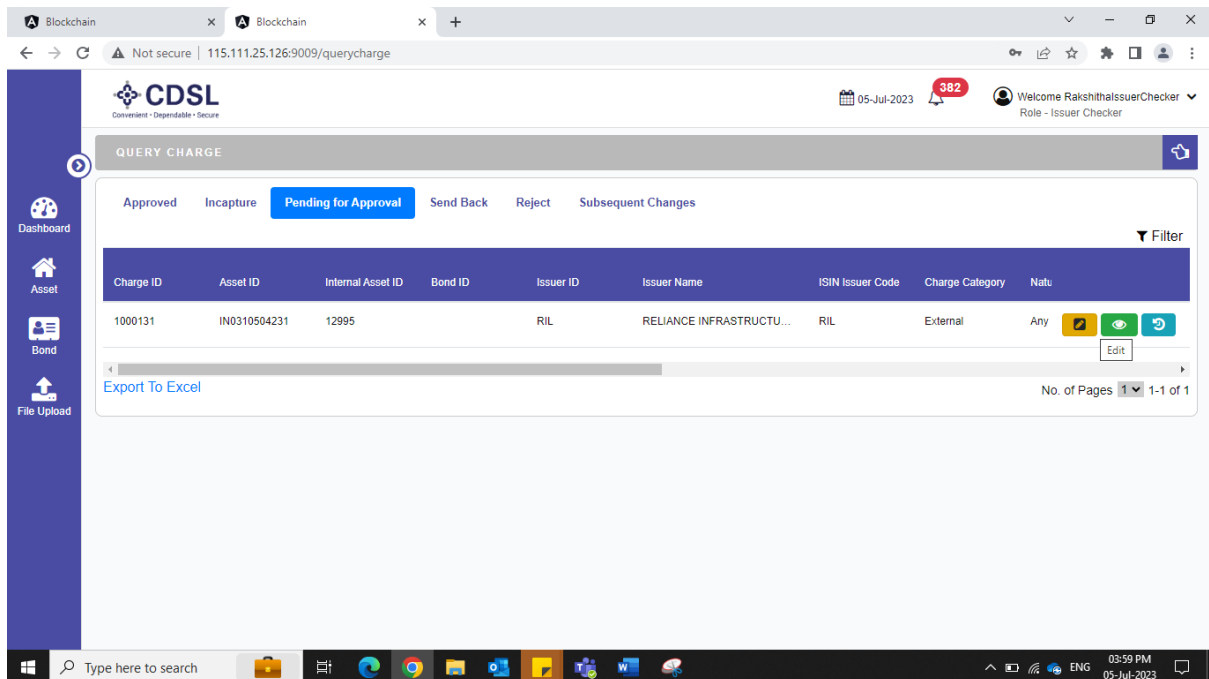
3.1. External Charges

- Issuer needs to select “External Charges” option in Asset module.
- Under “Pending for approval” tab, issuer checker would approve the charges created by issuer maker.



The screenshot shows the 'Bond Creation Management' interface. The top navigation bar includes the CDSL logo, date (05-Jul-2023), and user information (Welcome Rakshit Issuer Checker, Role - Issuer Checker). The main content area has a 'QUERY BOND' header and a 'Select Bond Work flow' dropdown. Below this, there are tabs for 'Pending for Checker Approval', 'Approved', 'Sent Back', and 'Reject'. A table lists bond creation queries with columns: Asset ID, Issuer ID, Issuer Name, ISIN Issuer Code, Status, Serviced By, Type of Security (S / US), and DT. The table contains several rows of data for various bond types and statuses.

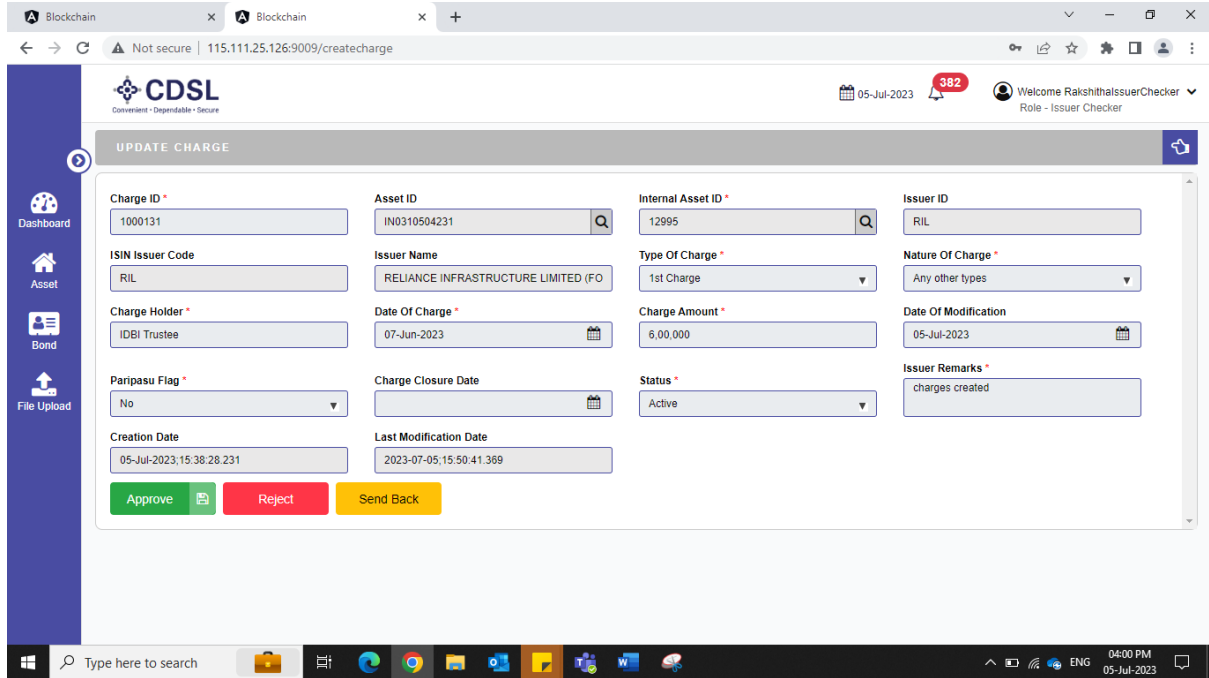
Asset ID	Issuer ID	Issuer Name	ISIN Issuer Code	Status	Serviced By	Type of Security (S / US)	DT
IN8599F01011	INST1002044	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured
IN8599F01011	INST1002036	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Unsecured
INE789878986	INST1002030	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Secured
INE789878986	INST1002019	RIL	RELIANCE INFRASTRUCTU...	REFRIL001	Incapture	CENTRAL DEPOSITORY SE...	Unsecured



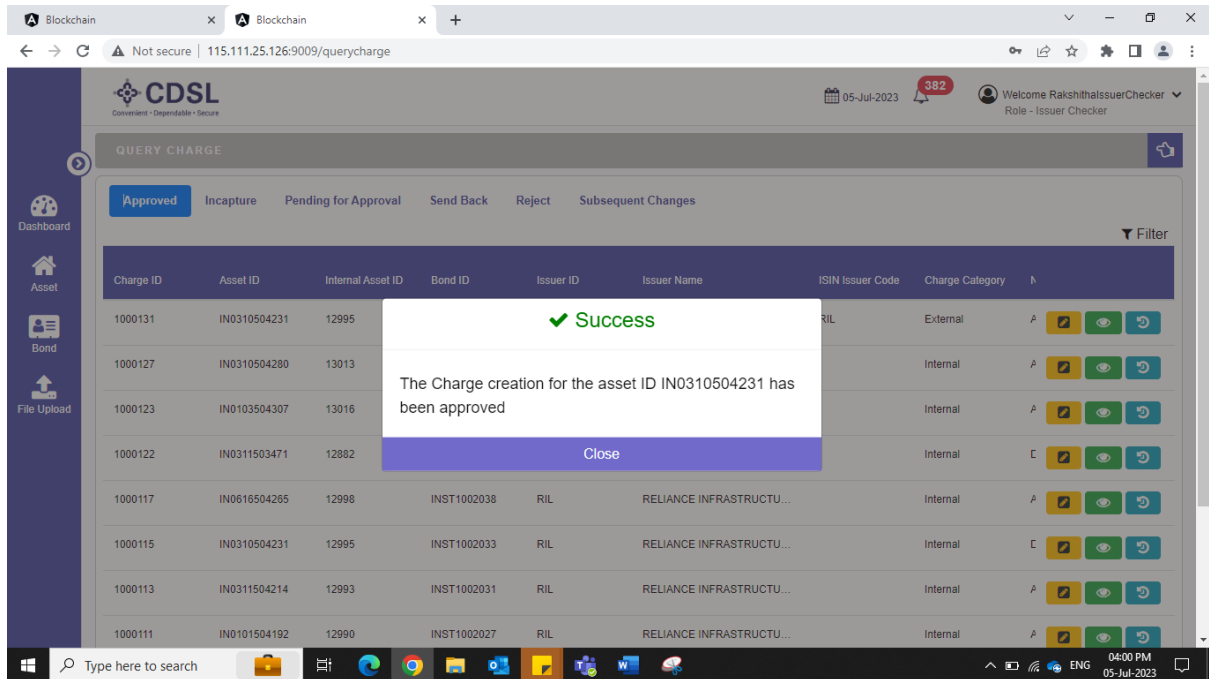
The screenshot shows the 'QUERY CHARGE' interface. The top navigation bar is similar to the previous screenshot. The main content area has a 'QUERY CHARGE' header and a 'Select Bond Work flow' dropdown. Below this, there are tabs for 'Approved', 'Incapture', 'Pending for Approval', 'Send Back', 'Reject', and 'Subsequent Changes'. A table lists charge queries with columns: Charge ID, Asset ID, Internal Asset ID, Bond ID, Issuer ID, Issuer Name, ISIN Issuer Code, Charge Category, and Natu. The table contains one row of data for an external charge.

Charge ID	Asset ID	Internal Asset ID	Bond ID	Issuer ID	Issuer Name	ISIN Issuer Code	Charge Category	Natu
1000131	IN0310504231	12995		RIL	RELIANCE INFRASTRUCTU...	RIL	External	Any

- Verify and approve the charge updated by issuer maker.



- Charge approved successfully.



Charge ID	Asset ID	Internal Asset ID	Bond ID	Issuer ID	Issuer Name	ISIN Issuer Code	Charge Category	N
1000131	IN0310504231	12995				RIL	External	A
1000127	IN0310504280	13013					Internal	A
1000123	IN0103504307	13016					Internal	A
1000122	IN0311503471	12882					Internal	E
1000117	IN0616504265	12998	INST1002038	RIL	RELIANCE INFRASTRUCTURE...		Internal	A
1000115	IN0310504231	12995	INST1002033	RIL	RELIANCE INFRASTRUCTURE...		Internal	E
1000113	IN0311504214	12993	INST1002031	RIL	RELIANCE INFRASTRUCTURE...		Internal	A
1000111	IN0101504192	12990	INST1002027	RIL	RELIANCE INFRASTRUCTURE...		Internal	A

- Same will reflect under approved tab.

Blockchain x Blockchain x +

























Not secure | 115.111.25.126:9009/querycharge

05-Jul-2023 382 Welcome RakshitHalsuerChecker
Role - Issuer Checker

QUERY CHARGE

Approved Incapture Pending for Approval Send Back Reject Subsequent Changes

Filter

Charge ID	Asset ID	Internal Asset ID	Bond ID	Issuer ID	Issuer Name	ISIN Issuer Code	Charge Category	N	
1000131	IN0310504231	12995		RIL	RELIANCE INFRASTRUCTU...	RIL	External	A	  
1000127	IN0310504280	13013	INST1002056	RIL	RELIANCE INFRASTRUCTU...		Internal	A	  
1000123	IN0103504307	13016	INST1002050	RIL	RELIANCE INFRASTRUCTU...		Internal	A	  
1000122	IN0311503471	12882	INST1002049	RIL	RELIANCE INFRASTRUCTU...		Internal	C	  
1000117	IN0616504285	12998	INST1002038	RIL	RELIANCE INFRASTRUCTU...		Internal	A	  
1000115	IN0310504231	12995	INST1002033	RIL	RELIANCE INFRASTRUCTU...		Internal	C	  
1000113	IN0311504214	12993	INST1002031	RIL	RELIANCE INFRASTRUCTU...		Internal	A	  
1000111	IN0101504192	12990	INST1002027	RIL	RELIANCE INFRASTRUCTU...		Internal	A	  

Type here to search

04:00 PM 05-Jul-2023

- Charge value entered in the external charges, would be blocked for the usage while linking of bond to the asset.